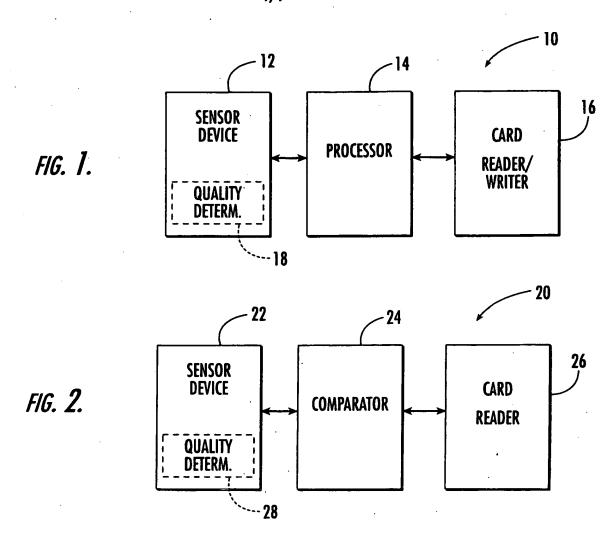
# • PRINTER RUSH • (PTO ASSISTANCE)

| Application: 10 08      |                                 | Lee (IDC) FMF FDC | GAU : Date:                           | 2876       |
|-------------------------|---------------------------------|-------------------|---------------------------------------|------------|
|                         | Tracking #:                     | 06125213          | Week Date:                            | 7/18/05    |
|                         |                                 |                   |                                       |            |
| DOC C                   | CODE DOC DATE                   | MISCELL           | ANEOUS                                |            |
| <u> </u>                | 9                               | Continuing        | Data                                  |            |
| ☐ IDS                   |                                 | Foreign Price     | -                                     |            |
|                         |                                 | Document I        | Legibility                            |            |
|                         |                                 | Fees Other        |                                       |            |
| □ SRF                   |                                 |                   |                                       |            |
|                         |                                 |                   |                                       |            |
| □ 312                   |                                 | - ]               |                                       |            |
| SPE                     | C                               | -                 |                                       |            |
| [RUSH] MESSAGE:         |                                 | Drafts perso      | n: Plea                               | se supply  |
| 17 An ince 5            | sheets for F<br>tamp is present | , • , , ,         | he illus                              | tration.   |
|                         |                                 |                   |                                       |            |
|                         |                                 |                   |                                       |            |
|                         |                                 |                   | Thank                                 |            |
| INDIAN DECDONCI         | Γ•                              |                   |                                       |            |
| [XRUSH] <b>RESPONSI</b> |                                 | connected         | <i>b</i>                              |            |
|                         | Drawings                        | CONVECTED         | · · · · · · · · · · · · · · · · · · · |            |
|                         |                                 |                   |                                       |            |
|                         |                                 |                   | INIT                                  | TALS: CRIC |

NOTE: This form will be included as part of the official USPTO record, with the Response document coded as XRUSH.

REV 10/04



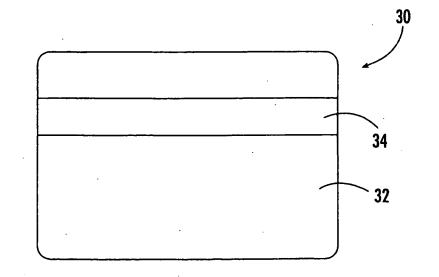


FIG. 3.

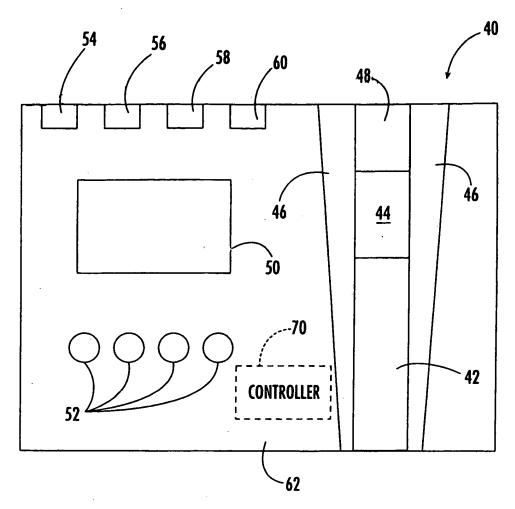
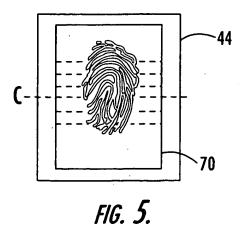
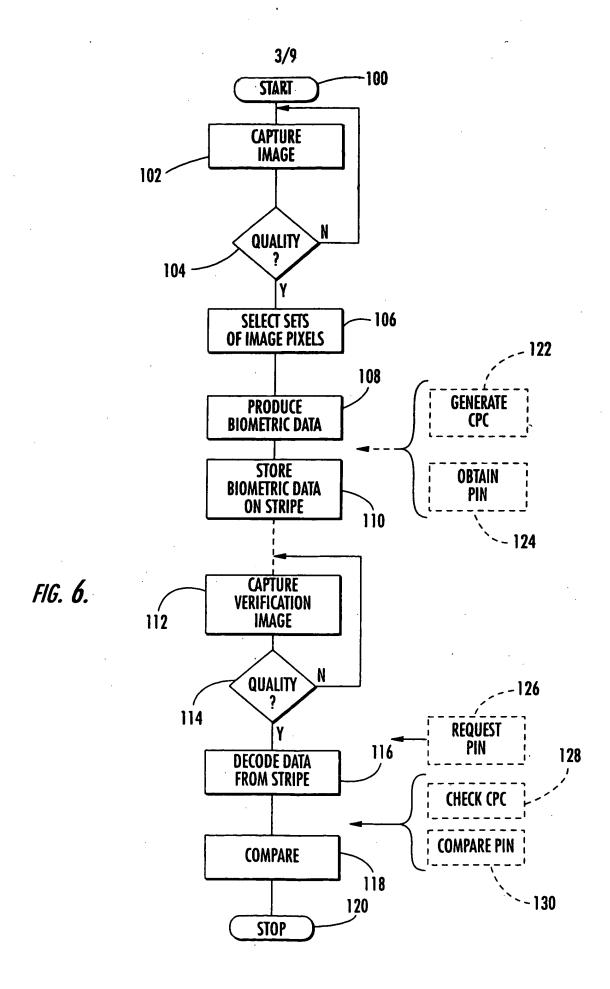


FIG. 4.





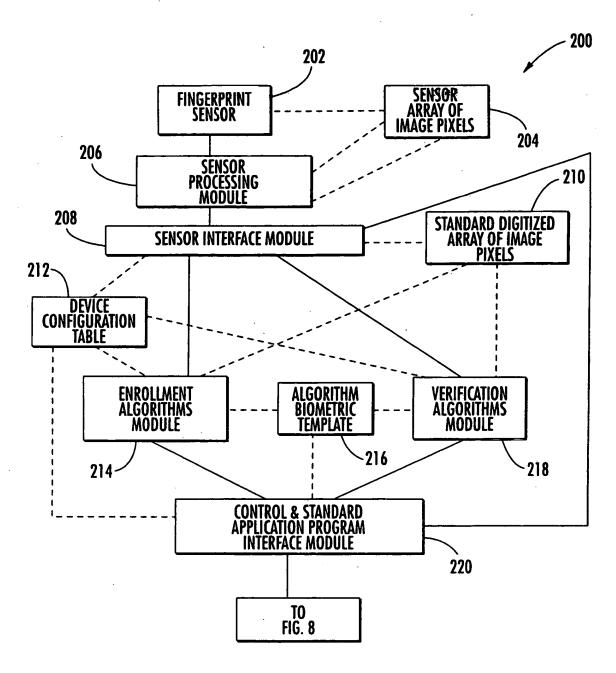


FIG. 7.

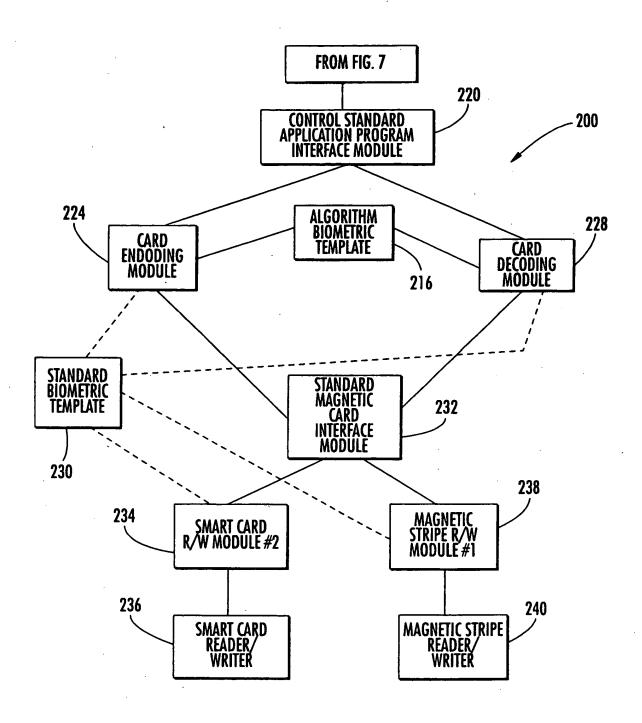


FIG. 8.

### **DEVICE CONFIGURATION TABLE**

| DESCRIPTION                                       | MODULE NAME          | VALUE (ESTABLTSHED"AT COMPILE TIME")   | COMMENTS   |
|---|----------------------|--|--|
|   |                      |  |  |
| DEVICE CONTROL CODE                               |                      | NINE NUMERIC<br>CHARACTERS   | USED FOR PREVENTING THEFT<br>OF DEVICE ESTABLISHED AT<br>COMPILE TIME                  |
| ENCODING APPROACH NUMBER                          |                      | 00" TO "15"  | SELECTED FROM THE<br>ENCODING APPROACH TABLE.<br>ESTABLISHED AT COMPILE<br>TIME        |
| SENSOR PROCESSING MODULE                          | SENRXX               | WHERE "XX" EQUALS<br>"00" TO "99"  | ESTABLISHED AT COMPILE<br>TIME   |
| ENROLLMENT/VERIFICATION<br>ALGORITHM MODULE#      | ENRLXX AND<br>VERFXX | WHERE "XX" EQUALS<br>"00"  | DEFAULT ALGORITHM SELECTED BASED UPON THE "ENCODING APPROACH NUMBER" (SEE ABOVE)       |
| ENROLLMENT/VERIFICATION<br>ALGORITHM MODULE#      | ENRLXX AND<br>VERFXX | WHERE "XX" EQUALS "01" (IF "BLANK" NO ALTERNATIVE ALGORITHM EXISTS)                  | SECOND ALGORITHM   |
| ENROLLMENT/VERIFICATION<br>ALGORITHM MODULE#      | ENRLXX AND<br>VERFXX | WHERE "XX" EQUALS<br>"02" TO "14" (IF "BLANK"<br>NO ALTERNATIVE<br>ALGORITHM EXISTS) |  |
| ENROLLMENT/VERIFICATION<br>ALGORITHM MODULE#      |                      | WHERE "XX" EQUALS "15" (IF "BLANK" NO ALTERNATIVE ALGORITHM EXISTS)                  | LAST ALGORITHM   |
| CARD ENCODING/DECODING<br>MODULE# (DEFAULT = "0") | ·                    | WHERE "XX" EQUALS "00"<br>THAT IS THE ENCODING<br>APPROACH NUMBER                    | DEFAULT MODULE<br>SELECTED BASED UPON THE<br>"ENCODING APPROACH<br>NUMBER" (SEE ABOVE) |
| CARD ENCODING/DECODING<br>MODULE#                 | Į                    | WHERE "XX" EQUALS "01" TO "14" (IF "BLANK" NO ALTERNATIVE MODULE EXISTS)             |  |
| CARD ENCODING/DECODING<br>MODULE#                 | ENCDXX AND<br>DECDXX | WHERE "XX" EQUALS "15" (IF "BLANK" NO ALTERNATIVE MODULE EXISTS)                     | LAST MODULE  |
| CARD READER/WRITER MODULE#<br>(DEFAULT="0")       | CDRDXX AND CDWRXX    | WHERE "XX" EQUALS<br>"00" TO "99"  | ESTABLISHED AT COMPILE<br>TIME   |
| COERCIVITY  |                      | FOUR NUMERIC<br>CHARACTERS (DEFAULT=<br>HIGH COERCIVITY)                             | COERCIVITY LEVEL OF MAGNETIC STRIPE WRITER   |
| SENSOR BAUD RATE                                  |                      | SIX NUMERIC CHARACTERS<br>WHERE "9600"bps IS THE<br>DEFAULT                          | ESTABLISHED AT COMPILE<br>TIME   |

# **ENCODING APPROACH TABLE**

| ENCODING<br>APPROACH<br>NUMBER | MAGNETIC<br>STRIPE                    | SIZE OF<br>  "BIOMETRIC        | MAXIUM<br>NUMBER OF<br>CHARACTERS | L AT A TIME | LATION           | DATA FORMAT<br>(COL 7)   | TRACK<br>FORMAT             |
|--------------------------------|---------------------------------------|--------------------------------|-----------------------------------|-------------|------------------|--------------------------|-----------------------------|
| (COL 1)                        | TRACK<br>NUMBER<br>(S) ***<br>(COL 2) | TEMPLATE"<br>(BITS)<br>(COL 3) | TRACK<br>(COL 4)                  | (COL 5)     | TABLE<br>(COL 6) | ·                        | (COL 8)                     |
| 0                              | 1                                     | 474                            | 79                                | 6           | 0                | ANSI/ISO<br>ALPHANUMERIC | ISO                         |
| 1                              | 1                                     | 395                            | 79                                | 5           | 1                | ANSI/ISO<br>ALPHANUMERIC | ISO                         |
| 2                              | 3                                     | 428                            | 107                               | 4           | 2                | ANSI/ISO<br>NUMERIC      | ISO                         |
| 3                              | 1                                     | 492                            | 82                                | 6           | 0                | ANSI/ISO<br>ALPHANUMERIC | AAMVA                       |
| 4                              | 3                                     | 492                            | 82                                | 6           | 0                | ANSI/ISO<br>ALPHANUMERIC | AAMVA                       |
| 5                              | 1                                     | 410                            | 82                                | 5           | Ī                | ANSI/ISO<br>ALPHANUMERIC | AVMAA                       |
| 6                              | 3                                     | 410                            | 82                                | 5           | 1                | ANSI/ISO<br>ALPHANUMERIC | AAMVA                       |
| 7                              | 1                                     | 510                            | 86                                | 6           | 0                | ANSI/ISO<br>ALPHANUMERIC | AAMVA*                      |
| 8                              | 3                                     | 510                            | 86                                | 6           | 0                | ANSI/ISO<br>ALPHANUMERIC | AAMVA*                      |
| 9                              | 1                                     | 425                            | 86                                | 5           | 1                | ANSI/ISO<br>ALPHANUMERIC | AAMVA*                      |
| 10                             | 3                                     | 425                            | 86                                | 5           | 1                | ANSI/ISO<br>ALPHANUMERIC | AAMVA*                      |
| 11                             | 1                                     | 595                            | - 86                              | N/A         | N/A              | CUSTOM **                | CUSTOM **                   |
| 12                             | 2                                     | 595                            | 86                                | N/A         | N/A              | CUSTOM **                | CUSTOM **<br>210 bpi        |
| 13                             | 3                                     | 595                            | 86                                | N/A         | N/A              | CUSTOM **                | CUSTOM **                   |
| 14                             | 2                                     | 510                            | 86                                | 6           | 0                | ANSI/ISO<br>ALPHANUMERIC | NON-<br>STANDARD<br>210 bpi |
| 15                             | 2                                     | 428                            | 107                               | 4           | 2                | ANSI/ISO<br>NUMERIC      | NON-<br>STANDARD<br>210 bpi |

### STANDARD BIOMETRIC TEMPLATE

|            | FIELD                                      | VALUE/SIZE                             | COMMENTS  |
|------------|--|--|---|
| <b>230</b> | HEADER: SOFTWARE<br>VERSION NUMBER         | "0" TO "256" - 8 BITS<br>(8BITS/BYTE)  | THE SOFTWARE VERSION NUMBER MAY RELATE TO THE ENROLLMENT/VERIFICATION ALGORITHM MODULE#, CARD ENCODING MODULE AND/OR ENCODING APPROACH NUMBER THAT ARE USED TO CREATE THE "BIOMETRIC" TEMPLATE. |
|            | COPY PROTECT CODE                          | 6 BITS (8BITS/BYTE)                    | SEVEN BIT LRC CHARACTER MINUS THE PARITY BIT. THE COPY PROTECT CODE IS ENBEDDED IN THE "YARDSTICK" DATA.  |
|            | "MINI-PIN"                                 | "0" TO "999" - 10 BITS<br>(8BITS/BYTE) | THE "MINI-PIN" IS EMBEDDED IN THE "YARDSTICK" DATA.   |
|            | ENROLL FINGER CODE                         | 3 BITS (8BITS/BYTE)                    | WHERE:<br>  O - MIDDLE, RIGHT, 1 - INDEX, RIGHT<br>  2 - RING, RIGHT, 3 - MIDDLE, LEFT<br>  4 - INDEX, LEFT, 5 - RING, LEFT<br>  6 - OTHER FINGER   |
|            | RESERVE                                    | 1 BITS (8BITS/BYTE)                    |   |
|            | ALGORITHM BIOMETRIC<br>TEMPLATE W/O HEADER |  | ·   |
|            | DATA - "YARDSTICKS"                        | 72 BYTES (7BITS/BYTE)                  | THE LAST BYTE IN EACH OF THE YARDSTICKS IS NOT USED   |
|            | TRAILER                                    | 7 BITS (8BITS/BYTE)                    | - 4 BITS - EXTENDED PIN (0-9)<br>- 3 BITS - ERROR BIT INCREMENT COUNTER<br>((0-7) SEE TABLE BELOW)  |
|            |  | 7 BITS (8BITS/BYTE)                    | - 6 BITS USED FOR YARDSTICK LOCATIONS<br>- 1 BIT HARD TO ENROLL FLAG  |
| ,          | TOTAL                                      | 79 BYTES (7BITS/BYTE)                  | DOES NOT INCLUDE CONTROL CHARACTERS   |

FIG. 11.

# ALGORITHM BIOMETRIC TEMPLATE

|     | FIELD               | VALUE/SIZE             | COMMENTS   |
|-----|---------------------|------------------------|--|
|     | HEADER:             | 2 BYTE                 | HEX "01"   |
| 216 | DATA - "YARDSTICKS" | 60 BYTES               | THE LAST BYTE IN EACH OF THE YARDSTICKS IS NOT USED  |
|     | TRAILER             | 1 BYTE                 | - 4 BITS - EXTENDED PIN (0-9) - 3 BITS - ERROR BIT INCREMENT COUNTER ((0-7) SEE TABLE BELOW) |
|     |                     | 1 BYTE                 | - 6 BITS USED FOR YARDSTICK<br>LOCATIONS<br>- 1 BIT HARD TO ENROLL FLAG                      |
|     | TOTAL               | 64 BYTES (8 BITS/BYTE) |  |

FIG. 12.

#### **ERROR BIT RATE INCREMENT COUNTER TABLE**

| NUMBER OF BITS THAT FAILED DURING VERIFY FOR THE YARDSTICKS PROCESSED (BASE ERROR BIT RATE + ERROR BIT INCREMENT COUNTER) | ERROR BIT<br>INCREMENT<br>COUNTER | COMMENTS  |
|---|-----------------------------------|---|
| 20  | 0                                 | TYPICAL ERROR BITS INCREMENT COUNTER IF NO PIN IS USED  |
| 21  | 1                                 |   |
| 22  | 2                                 | TYPICAL ERROR BITS INCREMENT COUNTER IF PIN IS USED     |
| 23  | 3                                 | TYPICAL ERROR BITS INCREMENT COUNTER IF EXT PIN IS USED |
| 24  | 4                                 |   |
| 25  | 5                                 |   |
| 26  | 6                                 |   |
| 27  | 7                                 |   |

FIG. 13.

#### 210 STANDARD DIGITIZED ARRAY OF IMAGE PIXELS

| FFFFFFF  |         | DDDDDDDD | BBBBBBBB |
|----------|---------|----------|----------|
|          | GGGGGGG |          |          |
| EEEEEEEE |         | cccccc   | AAAAAAA  |

#### WHERE:

- "AAAAAAAA" ARE THE GRAY SCALE FOR COLUMN O, ROW O, THE BOTTOM RIGHT CORNER OF THE IMAGE "BBBBBBB" ARE THE GRAY SCALE FOR COLUMN O, ROW 255, THE TOP RIGHT CORNER OF THE IMAGE

- "CCCCCCC" ARE THE GRAY SCALE FOR COLUMN 1, ROW 0
  "DDDDDDDD" ARE THE GRAY SCALE FOR COLUMN 1, ROW 255
  "EEEEEEEE" ARE THE GRAY SCALE FOR COLUMN 255, ROW 0, THE BOTTOM LEFT
- CORNER OF THE IMAGE "FFFFFFFF" ARE THE GRAY SCALE FOR COLUMN 255, ROW 255, THE TOP LEFT CORNER OF THE IMAGE

- "GGGGGGGG" ARE THE GRAY SCALE FOR COLUMN 128, ROW 128 WHICH SHOULD APPROXIMATE THE CENTER OF THE SENSOR FINGERPRINT IMAGE 8 BITS/"CELL" WHERE "00000000" IS " NO RIDGE" ON A GRAY SCALE 8 BITS/"CELL" WHERE "00000001" TO "11111111" IS "RIDGE" ON A GRAY SCALE DEPENDING UPON THE SENSOR NUMBER